

## EDUCATION

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SEPT. 2014–PRESENT B.S.E. in Computer Science  
**Shanghai Jiao Tong University, China**  
IEEE Honor Class, an elite program aiming to nurture scientists in EECS  
Overall GPA: 3.88/4.30 Major GPA Ranking: 3/70

## RESEARCH EXPERIENCE

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- JULY 2016–PRESENT **Speech Lab, Shanghai Jiao Tong University**  
*Joint Optimization of Policy Network and Dialog State Tracker, Supervised by Prof. Kai Yu (In progress)*
- Optimized the dialogue state tracker using deep deterministic policy gradient (DDPG) online
  - Designed a novel structure for tracker to dynamically extend slots and values
- Rule-Guided On-line Dialogue Policy Learning, Supervised by Prof. Kai Yu*
- Extended the *Companion Teaching* framework to a combination of statistical and rule-based models
  - Quantified and addressed the *safety* and *efficiency* problem of dialogue systems
  - Estimated the uncertainty of policy networks using dropout
  - Designed a *companion strategy* to decide the timing of teacher guidance based on uncertainty
  - Proposed an *agent-aware dropout Deep Q-Network* outperforming current baselines
- Hybrid Intelligence Spoken Dialogue System, Supervised by Prof. Kai Yu*
- Solved the *cold start* problem in dialogue systems due to insufficient off-line data
  - Proposed a novel reinforcement learning framework called *Companion Teaching* to let statistical models learn from human experts using both example actions and rewards
  - Implemented an LSTM-based dialogue state tracker
- JULY 2017–SEPT. 2017 **NLP Group, University of Notre Dame**  
*Character-level Neural Language Model, Supervised by Prof. David Chiang*
- Test several hypotheses about the limitation of character-level NLM
  - Proposed a new hypothesis that character-level NLMs are not trained to predict far enough into the future
  - Confirmed this hypothesis by reducing character-level perplexity from 2.39 to 2.36

## PUBLICATIONS

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- 2017 Lu Chen, Xiang Zhou, Cheng Chang, Runzhe Yang and Kai Yu. *Rule-Guided Safe and Efficient On-line Dialogue Policy Learning*. In Proceedings of the Conference on Empirical Methods in Natural Language Processing 2017 (EMNLP 2017)
- 2017 Cheng Chang, Runzhe Yang, Lu Chen, Xiang Zhou and Kai Yu. *Affordable On-line Dialogue Policy Learning*. In Proceedings of the Conference on Empirical Methods in Natural Language Processing 2017 (EMNLP 2017)
- 2017 Lu Chen, Runzhe Yang, Cheng Chang, Zihao Ye, Xiang Zhou and Kai Yu. *On-line Dialogue Policy Learning with Companion Teaching*. In Proceedings of the 15th Conference of the European Chapter of the Association for Computational Linguistics (EACL 2017)

## ACADEMIC ACTIVITIES

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SEPT. 2017–Present Co-translator of the Chinese translation of *Reinforcement Learning: An Introduction*

## SELECTED PROJECTS

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- JUNE 2017 | **Combined Baseline for Link Prediction in Knowledge Graph**  
Combined the idea behind 3 state-of-art algorithms (including pTransE, HoE and ProjE) of link prediction in knowledge graph and built a stronger baseline model
- JUNE 2017 | **Piano Midi Generation with SeqGAN**  
Used SeqGAN to train a generative model of two-channel piano MIDI file. Some additional music knowledge was added to control the output of the model for better performance
- AUG. 2016 | **Efficient Named Entity Recognition System**  
Built a combination model of rule-based method and a statistical model CRF (Conditional Random Field) to recognize Chinese named entity in text
- JUNE 2016 | **Simulated Pipeline CPU**  
Implemented a simulated CPU which supports the basic instructions of MIPS and implements the 5-stage pipeline structure using Verilog HDL
- JAN. 2016 | **Search Engine of Tourism Products**  
Built a search engine using Lucene which supports to search text and graph result with text query. All the text and graph were crawled by us from Ctrip. Extracted features in graph using SURF.
- FEB. 2015 | **Road Slope Estimation from Noisy Data**  
Helped CyberC3 Intelligent Vehicle Labs, Shanghai Jiao Tong University to process the data and implemented an improved Kalman filter algorithm to determine the real slope of the road information from the noisy data

## SELECTED SCHOLARSHIPS AND AWARDS

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- 2015 The Mathematical Contest in Modeling 2015 Meritorious Winner  
2015, 2016 Academic Excellence Scholarship Prize B (Top 10%)  
2015 Xindong Scholarship (Top 10%)  
2016 Eleme Scholarship (Top 10%)

## LANGUAGES

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TOEFL: 110 (Reading 29, Listening 29, Speaking 23, Writing 29)  
GRE: Verbal 157, Quantitative 170, Analytical Writing 3.5

## SKILLS

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Programming Languages: Python, C++, Lua, MATLAB,  $\LaTeX$ , Verilog HDL  
Machine Learning Frameworks: MXNet, DyNet, PyTorch, TensorFlow